公司內部人預定轉讓持股交易方式變更申報書【集中交易市場或證券商營業處所】

Registration Form for Change of Transaction Method for Expected Transfer of Shareholding by a Company Insider 【Stock Exchange or Over-the-Counter Market】

(格式二十二之二~一附表）

(Form: Attachment 22-2~1)

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| **受文者**  **To** | | | **股份有限公司Co., Ltd.**  **□臺灣證券交易所股份有限公司(上市公司)Taiwan Stock Exchange Corporation（TWSE listed company）**  **□財團法人中華民國證券櫃檯買賣中心(上櫃、興櫃公司) GreTai Securities Market（GTSM listed, or Emerging stock company）** | | | | | | | | | | | | | | | |
| **申報日期**  **Registration Date** | | | | | 年 　月 日  yyyy mm dd | | | | | 股票代號  Stock Code | | | 上市TWSE listed:  上櫃GTSM listed：  興櫃Emerging stock： | | | | | |
| **輸入電腦系統申報日期**  **System Input Date** | | | | | 年 　月 日  yyyy mm dd | | | | |
| **主旨**  **Subject** | | 茲申報變更本人原申報預定**轉讓所持有之　　　 　　股份有限公司股票**之情形，請 查照。  Report to register a change in a previously registered expected **transfer of stock I hold in**  **Co, Ltd.** | | | | | | | | | | | | | | | | |
| **申報人資料**  **Information about the Reporting Person** | | | | | | **原始申報內容**  **Original registration information** | | | | | | | | **預計轉讓內容**  **(申報變更後內容)**  **Information about the expected transfer**  **(post-change information)** | | | | |
| 姓名  或  公司名稱  Name or company name |  | | | | | **原申報**  **日期**  **Original Registration Date** | |  | | | | | | **注意：**  **請就原申報預定轉讓持股尚未轉讓股數填寫預定採用之交易方式及分配股數。**  **Note: With respect to the originally registered expected transfer of shareholding, this section addresses the shares that have not yet been transferred. Please fill in the expected transaction method and the number of shares to be transferred.** | | | | |
| **預定轉讓總股數(A)**  **Total no. of shares expected to be trans-**  **ferred (A)** | | **自有持股**  **Proprietary shareholding** | | | |  | |
| 統一編號  Uniform ID number |  | | | | | **保留運用決定權信託股數**  **No. of shares under trust with discretion reserved** | | | |  | | 交易方式  Transaction method | | | 轉讓股數  No. of shares to be transferred | |
| **目前已實際轉讓內容**  **Information on transfers that currently have actually been made** | | | | | | | | □一般交易  General trading | | |  | |
| 身分及  關係  Identity and relationship | □董事  Director  □監察人  Supervisor  □大股東  Major shareholder  □經理人  Managerial officer  □法人董事代表人  Representative of juristic person director  □法人監察人代表人  Representative of juristic person supervisor  □金控子公司內部人  Insider of financial holding company subsidiary  □特定人  Specific person | | | □本人  Self  □配偶  Spouse  □未成年子女  Minor child  □利用他人  名義持有者  Nominee shareholder  □**由受託人持有者**  **(保留運用決定權之信託**)  **Shares held by a trustee**  **(under trust with discretion reserved**) | | 採用交易方式及股 數  Transaction method used and no. of shares | 交易方式  Transaction method | | | | 轉讓股數  No. of shares to be transferred | | | □  鉅額交易  Block trading | □逐筆  Non paired trade | |  | |
| □一般交易  General trading | | | |  | | | □配對  Paired trade | |  | |
| □  鉅額交易  Block trading | | □逐筆  Non paired trade | |  | | | 採配對交易  受讓人姓名  For a paired trade, the full name of Transferee | | |  | |
| □配對  Paired trade | |  | | | 統一編號  Uniform ID number | | |  | |
| 採配對交易  受讓人姓名  For a paired trade, the full name of Transferee | | | |  | | | □盤後定價交易  After-hours fixed price trading | | |  | |
| 統一編號  Uniform ID number | | | |  | | | □拍賣或標購之委託競賣  Sale by auction or reverse auction | | |  | |
| □盤後定價交易  After-hours fixed price trading | | | |  | | | 預定轉讓總股數(C)  Total no. of shares expected to be transferred (C) | | 自有持股  Proprietary shareholding | |  |
| □拍賣或標購之委託競賣  Sale by auction or reverse auction | | | |  | | | 保留運用決定權信託股數  No. of shares under trust with discretion reserved | |  |
| **申報人為內部人之關係人或金控子公司內部人者**，請說明內部人之姓名或金控之子公司名稱：  **If the Reporting Person is a related person of the insider or an insider of a financial holding company subsidiary**, specify the name of the insider or the subsidiary: | | | | | 本次已轉讓總股數  (B)  Total no. of shares already transferred  (B) | 自有持股數  Proprietary shareholding | | | |  | | | 目前持有股數  (D)  No. of shares currently held  (D) | | 自有持股數  Proprietary shareholding | |  |
| 保留運用決定權信託股數  No. of shares under trust with discretion reserved | | | |  | | | 保留運用決定權信託股數  No. of shares under trust with discretion reserved | |  |
| 本次尚未轉讓股數(C)  =(A)-(B)  No. of shares not yet transferred (C)= (A)-(B) | 自有持股數  Proprietary shareholding | | | |  | | | 轉讓後持有股數  (D)-(C)  No. of shares that will be held after the transfer  (D)- (C) | | 自有持股數  Proprietary shareholding | |  |
| 保留運用決定權信託股數  No. of shares under trust with discretion reserved | | | |  | | | 保留運用決定權信託股數  No. of shares under trust with discretion reserved | |  |
| 說明Instructions:  一、本表係針對已依證券交易法第二十二條之二第一項第二款之規定辦理持股轉讓事前申報後，就原已申報之預定轉讓股數未轉讓餘額辦理變更交易方式，申報變更以一次為限。  The form is for those who have previously registered an expected transfer of shareholding pursuant to Article 22-2, paragraph 1, subparagraph 2 of the Securities and Exchange Act, and subsequently intend to change the transaction method for the shares that have not yet been transferred out of the number of shares originally expected to be transferred. A report to register a change in transaction method is permitted one time only.  二、原申報完成日（含）起4日內及轉讓有效期限屆滿前2日內（即有效期間之最後3日）不得申報變更。  It is prohibited to register a change within 4 days from (and inclusive of) the original registration date or within 2 days before the deadline of the effective period for the transfer (i.e. during the last 3 days of the effective period).  三、新申報變更之交易方式自申報輸入系統之日起算，三日後（即輸入起第4日）始可轉讓。  No transfers may be executed by the newly registered changed transaction method until 3 days after the registration system input date, (i.e. the 4th day counting from the system input date).  四、自申報變更時起，原申報之交易方式即告失效，原申報尚未完成轉讓之股數應依變更申報後內容及自申報輸入系統之日（含）起第4日始可轉讓。  The originally registered transaction method becomes invalid immediately from the input time of the registration of the change in transaction method. Any remaining untransferred shares of the original registration shall be transferred in compliance with the post-change registration information and may not be transferred until the 4th day counting (inclusively) from the new System Input Date.  五、變更申報後，轉讓有效期限仍維持不變，且採「一般交易」者，每日最大可轉讓股數之計算仍維持原申報時之計算股數。  After the registration of the change in transaction method, the effective period for transfer remains the same as that of the original registration, and for transfers to be made by "general trading," the maximum daily share transfer allowance shall remain the same as the number of shares as calculated at the time of the original registration.  六、申報人將本表填妥後，應於十七時三十分前送交公司及證交所〔FAX：02-81013038〕或櫃買中心〔FAX：02-23692586〕。  After filling out this form, the Reporting Person should submit it to the Company and the TWSE (Fax: 02-81013038) or GTSM (Fax: 02-23692586) before 5:30 p.m.  七、公司依據本表，於申報當日十七時三十分前(臺灣當地時間)，將資料輸入「公開資訊觀測站」。  Based on this form, the Company should enter the information into the Market Observation Post System before 5:30 p.m. (Taiwan local time) on the filing date.  申 報 人(簽名或蓋章)  Reporting Person(Signature or seal)  聯絡地址Contact address:  聯絡電話Contact telephone: | | | | | | | | | | | | | | | | | | |